



**Date:** September 12, 2018

**Attention:** Valued L3 Supplier

**Subject:** Regulatory Alert – Requirements Related to “Conflict Minerals” Reporting

Dear Supplier,

L3 Technologies supports taking responsible steps to ensure that the products received from our supply chain are responsibly sourced. Furthermore, L3 supports efforts to increase transparency in the supply chain and regulations that ensure companies conduct business with integrity, respect and to the highest ethical standards.

In July 2010, former President Barack Obama signed into law the Wall Street Reform and Consumer Protection Act, also known as the Dodd-Frank Act. Although the focus of the Act is financial market regulation reform, it also imposes new regulations regarding “Conflict Minerals”. On August 22, 2012, the Securities and Exchange Commission (SEC), adopted a rule implementing the “conflict minerals” disclosure requirements in Section 1502 of the Dodd-Frank Act, (see the rule at: <http://www.sec.gov/rules/final/2012/34-67716.pdf>).

This rule requires public companies such as L3 to annually report to the SEC if cassiterite, columbite-tantalite, gold, wolframite, and their derivatives, which are limited to tin, tantalum, tungsten and gold (3TG) are in their products and if any of these minerals originated in the Democratic Republic of the Congo (DRC) or adjoining countries. For 2018, L3 requires suppliers to timely reply to a “Conflict Minerals Information Request” or declaration disclosing if any 3TG are used in products delivered to L3. Additionally, suppliers are required to report on the origin and chain of custody information for these minerals. You are also requested to flow down this alert to all levels of your supply chain and ensure that your company and your supply chain become familiar with the requirements under the “Conflict Minerals” rule.

Although some companies are not directly subject to the rule, suppliers to L3, when requested, must disclose the use, source of origin and chain of custody of 3TG within the product(s) supplied to L3. This information will be used by L3 to comply with its annual reporting and disclosure obligations as noted above.

Your support and cooperation are essential to our being able to comply with this reporting requirement. Please see the resource information below in order to help you become more familiar with the reporting requirements on conflict minerals.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ralph DeNino'.

Ralph DeNino

Corporate VP, Procurement  
L3 Technologies, Inc.

**Resource and additional information:**

Electronic Industry Citizenship Coalition and Conflict Free Sourcing Initiative resources: <http://www.responsiblemineralsinitiative.org/>  
Conflict Minerals Reporting Template (CMRT) is a free, standardized reporting template:

<http://www.responsiblemineralsinitiative.org/conflict-minerals-reporting-template/>The Organisation for Economic Co-operation and Development (OECD) has developed a Due Diligence Guidance document that can be found at:

<http://www.oecd.org/fr/daf/inv/mne/mining.htm>